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&KHFNWKHDSSURSULDWHER[WRGHVLJQDWHWKHUXOHSXUVXDQWWRZKLFKWKLV

,WHPVDQGRQWKHFRYHUSDJH

LL VKDUHGSRZHUWRYRWHRUWRGLUHFWWKHYRWH 

LLL VROHSRZHUWRGLVSRVHRUWRGLUHFWWKHGLVSRVLWLRQRI  

LY VKDUHGSRZHUWRGLVSRVHRUWRGLUHFWWKHGLVSRVLWLRQRI 

,WHP2ZQHUVKLSRI)LYH3HUFHQWRU/HVVRID&ODVV

1RWDSSOLFDEOH

,WHP2ZQHUVKLSRI0RUHWKDQ)LYH3HUFHQWRQ%HKDOIRI$QRWKHU3HUVRQ

2QHRUPRUHRWKHUSHUVRQVDUHNQRZQWRKDYHWKHULJKWWRUHFHLYHRUWKHSRZHU

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672&.RI7(&+1,3)0&3/&LVPRUHWKDQILYHSHUFHQWRIWKHWRWDORXWVWDQGLQJ

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,WHP,GHQWLILFDWLRQDQG&ODVVLILFDWLRQRIWKH6XEVLGLDU\:KLFK$FTXLUHGWKH

6HFXULW\%HLQJ5HSRUWHGRQ%\WKH3DUHQW+ROGLQJ&RPSDQ\

6HHDWWDFKHG([KLELW$

,WHP,GHQWLILFDWLRQDQG&ODVVLILFDWLRQRI0HPEHUVRIWKH*URXS

1RWDSSOLFDEOH

,WHP 1RWLFHRI'LVVROXWLRQRI*URXS

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%\VLJQLQJEHORZ,FHUWLI\WKDWWRWKHEHVWRIP\NQRZOHGJHDQGEHOLHI

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FRXUVHRIEXVLQHVVDQGZHUHQRWDFTXLUHGDQGDUHQRWKHOGIRUWKHSXUSRVHRI

RUZLWKWKHHIIHFWRIFKDQJLQJRULQIOXHQFLQJWKHFRQWURORIWKHLVVXHURIWKH

0HPEHUVRIWKH-RKQVRQIDPLO\LQFOXGLQJ$ELJDLO3-RKQVRQDUHWKH

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VKDUHVRI)05//&UHSUHVHQWLQJ RIWKHYRWLQJSRZHURI)05//&7KH

-RKQVRQIDPLO\JURXSDQGDOORWKHU6HULHV%VKDUHKROGHUVKDYHHQWHUHGLQWRD

VKDUHKROGHUV YRWLQJDJUHHPHQWXQGHUZKLFKDOO6HULHV%YRWLQJFRPPRQVKDUHV

ZLOOEHYRWHGLQDFFRUGDQFHZLWKWKHPDMRULW\YRWHRI6HULHV%YRWLQJFRPPRQ

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PD\EHGHHPHGXQGHUWKH,QYHVWPHQW&RPSDQ\$FWRI WRIRUPDFRQWUROOLQJ

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7KLVILOLQJUHIOHFWVWKHVHFXULWLHVEHQHILFLDOO\RZQHGRUWKDWPD\EHGHHPHG

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DIILOLDWHVDQGRWKHUFRPSDQLHV FROOHFWLYHO\WKH)055HSRUWHUV 7KLV

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